

# Part 2B of Form ADV: *Brochure Supplement*March 4, 2023

# Ryan P. Layton, CFA EmeraldSpark Investments, LLC 101 Audubon Rd Winter Haven, FL 33884 p: (612) 810-2230

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This brochure supplement provides information about Ryan P. Layton, CFA that supplements the EmeraldSpark Investments, LLC brochure. You should have received a copy of that brochure. Please contact Ryan P. Layton, CFA if you did not receive EmeraldSpark's brochure or if you have any questions about the contents of this supplement. Additional information about Ryan P. Layton, CFA is available on the SEC's website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>.

# Item 2: Educational Background and Business Experience

Ryan P. Layton, CFA was born in Howell, MI in 1981 and graduated from Michigan Technological University in 2004 with a B.S. degree in Finance. Mr. Layton has 19 years of investment management experience. Prior to founding EmeraldSpark in 2015, Ryan worked for MainStreet Advisors in Chicago for three years as a portfolio manager and as the Director of Asset Allocation. He spent the first seven years of his career with Wells Fargo Wealth Management Group, most recently as an Assistant Vice President and Investment Manager in Minneapolis where he was actively involved in the firm's asset allocation and investment selection processes.

Ryan has earned the right to use the Chartered Financial Analyst (CFA®) designation. To become a CFA charterholder, candidates must satisfy the following requirements:

- Have four years (48 months) of qualified work experience (or a combination of education and work experience acceptable by the CFA Institute);
- Complete the CFA Program (mastery of the current CFA curriculum and passing three six-hour examinations);
- · Become a member of the CFA Institute and apply for membership to a local CFA member society;
- · Adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct.

#### Item 3: Disciplinary Information

Ryan P. Layton, CFA has not been involved in any legal or disciplinary events that are material to a client's or prospective client's evaluation of him.

# Item 4: Other Business Activity

Ryan P. Layton, CFA is not actively engaged in any other investment-related business or occupation. He is, however, actively engaged in consultant work for the CFA Institute for compensation that, from time to time, may require more than ten hours of his time per week.

#### Item 5: Additional Compensation

Ryan P. Layton, CFA does not receive an economic benefit from anyone who is not a client for providing advisory services.

### Item 6: Supervision

Ryan P. Layton, CFA is the sole owner of EmeraldSpark Investments, LLC. He is not supervised.

#### Item 7: Requirements for State-Registered Advisers

Ryan P. Layton, CFA has not been involved in an award or otherwise been found liable in an arbitration claim alleging damages in excess of \$2,500, involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Ryan P. Layton, CFA has not been involved in an award or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.